

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW:

DATE: June 14, 2002

**PATENT  
BOX MISSING PARTS**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Application of Deisseroth, <i>et al.</i>	: Group Art Unit: Not yet assigned
	:
	:
Appln. No.: 09/856,922	: Examiner: Not yet assigned
	:
International Appln. No.: PCT/US99/28613	:
	:
International Filing Date: 3 December 1999	: Attorney Docket No. : 44574-5059
	:
For: PLASTIN PROMOTER DIRECTED GENE THERAPY	:

**TRANSMITTAL OF MISSING REQUIREMENTS OF APPLICATION**

In response to the Notification of Missing Requirements dated July 11, 2001, the following is submitted herewith:

- ☒ [X] Copy of the Notification of Missing Requirements mailed July 11, 2001.
- ☐ [ ] No Notice to File Missing Parts has been received.
- ☒ [X] One (1) Declaration and Power of Attorney.
- ☐ [ ] Verified English translation of application as filed.
- ☐ [ ] Priority document(s): Certified copy(ies) of \_\_\_ patent application No(s). \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_ from which priority is claimed under 35 U.S.C. §119.
- ☐ [ ] Formal drawings: \_\_\_ sheets.
- ☐ [ ] Information Disclosure Statement, Form PTO-1449, and cited references.
- ☒ [X] Petition to Revive Unintentionally Abandoned Application
- ☐ [ ] Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ [ ] Computer readable copy      ☐ [ ] Paper Copy      ☐ [ ] Verified Statement
- ☒ [X] Petition for a Five (5) Month Extension of Time and requisite fee.
- ☐ [ ] Request for Refund.
- ☐ [ ] Request for Corrected Filing Receipt. Attached is a marked-up copy of the Filing Receipt showing corrections.
- ☐ [ ] Notice of Change of Address

- [ ] Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as [ ] an Independent Inventor, or [ ] a Small Business Concern, or [ ] a Non-Profit Organization.
- [X] The following fees noted below are being paid:
- [X] Surcharge of **\$65.00** for late filing of filing fee and/or Declaration and Power of Attorney.
  - [X] Petition to Revive Fee of **\$640.00**
  - [X] Surcharge of **\$980.00** for a Five Month Extension of Time.
- [X] The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-0310 (billing code 44574-5059) as noted below. A duplicate copy of this sheet is enclosed.
- [X] The above-calculated fee(s) calculated totaling **\$1,685.00**.
  - [X] Any overpayments or deficiencies in the above-calculated fee(s).
  - [X] Any additional fees required under 37 C.F.R. §1.16 or §1.17.
  - [X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. §1.136(a) to our Deposit Account noted above.

Respectfully submitted,

**DEISSEROTH, ET AL.**

June 14, 2002  
Date

By: 

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/dp

Enclosure(s)

- 1) Petition to Revive Unintentionally Abandoned Application
- 2) Copy of Notification of Missing Requirements
- 3) Executed declaration
- 4) Petition for a Five Month Extension of Time (in dupl.)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/856,922		Albert P. Deisseroth	044574-5059-US

009629  
MORGAN LEWIS & BOCKIUS LLP  
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WASHINGTON, DC 20004

CONFIRMATION NO. 6375

**ABANDONMENT/TERMINATION  
LETTER**



\*OC00000008201997\*

Date Mailed: 05/30/2002

**NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)**

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 07/11/2001.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE